

JS-6

**UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
SOUTHERN DIVISION**

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

BRETT M. BARTLETT, SCOTT A.
MILLER, DYNASTY TOYS, INC.,
THE 7M EGROUPO CORP.,
CONCEPT MANAGEMENT
COMPANY LLC, and DYNASTY,
INC.,

Defendants.

Case No. 8:23-cv-00765-CJC(JDEx)

**FINAL JUDGMENT AS TO
DEFENDANT DYNASTY, INC.**

1 The Clerk entered default against Defendants Brett M. Bartlett, Scott A. Miller,
2 Dynasty Toys, Inc., The 7M eGroup Corp., Concept Management Company LLC
3 (“CMC”), and Dynasty, Inc. (collectively, “Defaulting Defendants”) for failing to
4 answer or otherwise respond to the Complaint. Dkt. Nos. 20-25. Plaintiff Securities
5 and Exchange Commission (“SEC” or “Commission”) moved for default judgment
6 against all Defendants (Dkt. No. 29), which the Court GRANTED. (Dkt. No. 35.)

7 I.

8 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant
9 Dynasty, Inc., is permanently restrained and enjoined from violating, directly or
10 indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the “Exchange
11 Act”) [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R.
12 § 240.10b-5], by using any means or instrumentality of interstate commerce, or of the
13 mails, or of any facility of any national securities exchange, in connection with the
14 purchase or sale of any security:

- 15 (a) to employ any device, scheme, or artifice to defraud;
16 (b) to make any untrue statement of a material fact or to omit to state a
17 material fact necessary in order to make the statements made, in the light
18 of the circumstances under which they were made, not misleading; or
19 (c) to engage in any act, practice, or course of business which operates or
20 would operate as a fraud or deceit upon any person.

21 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
22 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
23 binds the following who receive actual notice of this Judgment by personal service or
24 otherwise: (a) Defendant’s officers, agents, servants, employees, and attorneys; and
25 (b) other persons in active concert or participation with Defendant or with anyone
26 described in (a).

27 II.

28 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that

1 Defendant Dynasty, Inc., is permanently restrained and enjoined from violating
2 Section 17(a) of the Securities Act of 1933 (the “Securities Act”) [15 U.S.C. §
3 77q(a)] in the offer or sale of any security by the use of any means or instruments of
4 transportation or communication in interstate commerce or by use of the mails,
5 directly or indirectly:

- 6 (a) to employ any device, scheme, or artifice to defraud;
- 7 (b) to obtain money or property by means of any untrue statement of a
8 material fact or any omission of a material fact necessary in order to
9 make the statements made, in light of the circumstances under which
10 they were made, not misleading; or
- 11 (c) to engage in any transaction, practice, or course of business which
12 operates or would operate as a fraud or deceit upon the purchaser.

13 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
14 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
15 binds the following who receive actual notice of this Judgment by personal service or
16 otherwise: (a) Defendant’s officers, agents, servants, employees, and attorneys; and
17 (b) other persons in active concert or participation with Defendant or with anyone
18 described in (a).

19 III.

20 IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that
21 Defendant Dynasty, Inc. is permanently restrained and enjoined from violating
22 Section 5 of the Securities Act [15 U.S.C. § 77e] by, directly or indirectly, in the
23 absence of any applicable exemption:

- 24 (a) Unless a registration statement is in effect as to a security, making use of
25 any means or instruments of transportation or communication in interstate commerce
26 or of the mails to sell such security through the use or medium of any prospectus or
27 otherwise;
- 28 (b) Unless a registration statement is in effect as to a security, carrying or

1 causing to be carried through the mails or in interstate commerce, by any means or
2 instruments of transportation, any such security for the purpose of sale or for delivery
3 after sale; or

4 (c) Making use of any means or instruments of transportation or
5 communication in interstate commerce or of the mails to offer to sell or offer to buy
6 through the use or medium of any prospectus or otherwise any security, unless a
7 registration statement has been filed with the Commission as to such security, or
8 while the registration statement is the subject of a refusal order or stop order or (prior
9 to the effective date of the registration statement) any public proceeding or
10 examination under Section 8 of the Securities Act [15 U.S.C. § 77h].

11 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, as
12 provided in Federal Rule of Civil Procedure 65(d)(2), the foregoing paragraph also
13 binds the following who receive actual notice of this Final Judgment by personal
14 service or otherwise: (a) Defendant's officers, agents, servants, employees, and
15 attorneys; and (b) other persons in active concert or participation with Defendant or
16 with anyone described in (a).

17 IV.

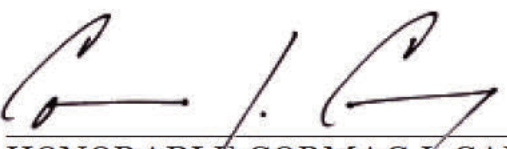
18 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court
19 shall retain jurisdiction of this matter for the purposes of enforcing the terms of this
20 Judgment.

21 V.

22 There being no just reason for delay, pursuant to Rule 54(b) of the Federal
23 Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith
24 and without further notice.

25 IT IS SO ORDERED.

26 Dated: September 19, 2023

27 
28 HONORABLE CORMAC J. CARNEY
UNITED STATES DISTRICT JUDGE